



Crowe Internal Audit Services for Financial Services

With expertise comes confidence

Many executives and audit committees are taking a closer look at their internal audit functions to keep pace with enhanced scrutiny and stakeholder directives.

Expectations for improved corporate governance may challenge financial services organizations to reconsider methods for maintaining efficient operations, reliable financial reporting, and effective regulatory compliance. Crowe internal audit services are designed to help management teams improve risk management and strengthen internal controls.

Effectively managing risk to improve performance

When you work with Crowe, you can be confident you will receive objective, credible, and timely information to help you make critical management decisions.

We offer a full range of outsourced internal audit (IA) services options to address your needs, including consulting with clients on IA governance and transformation, helping with the execution of the risk assessment and annual plan, and specific assistance with activities such as Sarbanes-Oxley Act Section 404 testing, audit committee support, quality assessment preparation, and independent reviews.

Choose your level of support

Crowe IA professionals can help:

- Establish and/or manage internal audit requirements
- Perform risk assessments of IT, compliance, financial, and operational controls
- Gather data for analysis and benchmarking
- Provide flexible, long-term resource support
- Fill knowledge gaps and provide project staffing as needed

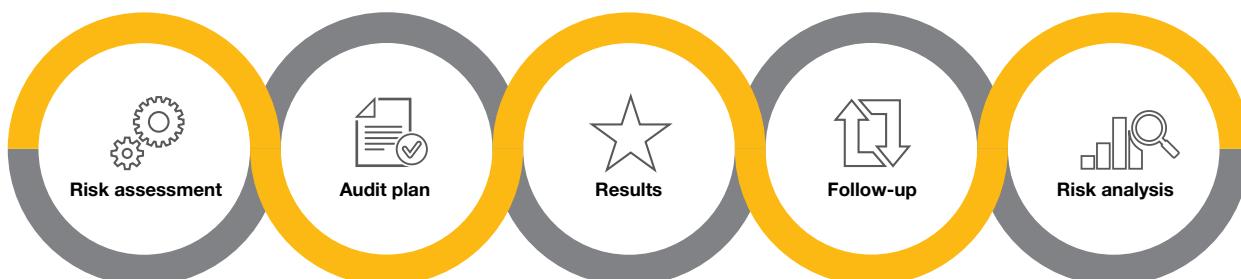
Integrity

Quality

Innovation

Objectivity

Mitigate risk and strengthen internal controls



Strategy/culture

Stakeholder expectations

External factors

Change management

Using best practices from seasoned professionals

Crowe IA specialists use a risk-based methodology that is based on standards and guidelines recommended by the Institute of Internal Auditors and the Federal Financial Institutions Examination Council. Our services are designed to uncover internal controls weaknesses and deliver insight into strategic, operational, financial, regulatory, and technology improvements. We deliver results by using an approach focused on a continuous cycle of setting expectations, assessing risks, performing audits, reporting findings, and following up on recommended improvements.

Expediting results with technology

Our IA professionals use automated methods and tools to address specific needs for assessing, sampling, and analyzing business processes. We also rely on the latest technology for risk modeling, data analytics, and scenario testing to validate the organizational processes targeted for improvement.

We use our proprietary CiRT™ solution to facilitate secure communication and improve efficiency in our interactions. The solution provides features to assign and delegate requests, track and monitor project progress, and address issues quickly.

Delivering more with experienced resources

At a time when IA is expected to deliver more, it is critical to apply the right model with the most effective combination of resources. With Crowe, you can count on practical solutions based on decades of experience working with more than 1,800 financial services organizations worldwide.

Crowe internal audit solutions support your sustainable risk management strategies and help make your business stronger with:

- Deep financial services industry specialization
- Expertise in internal audit best practices
- Benchmarking results
- Global talent and specialized knowledge
- Advanced technology and data-mining capabilities
- Customer service excellence



Learn more

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