

Crowe ABA-Endorsed Services

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Corporate Governance and Internal Audit

Crowe governance, compliance, and risk management consulting services are endorsed by the American Bankers Association (ABA).

Best Practices from Seasoned Professionals

Expectations for improved corporate governance may challenge banks to reconsider methods for maintaining efficient operations, reliable financial reporting, and effective regulatory compliance. Crowe offers a full range of internal audit services designed to help management teams improve risk management and strengthen controls.

Our internal audit professionals use automated systems and advanced methods to analyze business processes and recommend areas for improvement. Our internal audit process includes helping banks work through industry, regulatory, and compliance standards to identify and address controls deficiencies related to areas such as accounting and reporting, operations, risk management, compliance, and technology.

We take our internal audit responsibilities very seriously when interacting with audit committees and boards. We can help with a quality assessment to review risk areas and gauge internal audit business performance. When training is needed in light of heightened responsibilities, we can provide customized programs to build the right skills.

The Crowe corporate governance framework guides our approach for:

- Analyzing systems and processes in seven interrelated areas
- Uncovering internal controls weaknesses
- Identifying areas to mitigate risk
- Delivering insight into potential improvements



Learn More

For more information about Crowe ABA-endorsed services, contact:

+1 800 599 2304
solution.inquiry@crowe.com



Crowe governance, risk, and compliance management solutions are endorsed by the American Bankers Association (ABA). The ABA endorsement of these solutions indicates they deliver high quality, meet performance standards, and offer the potential to improve your bank's profitability and performance.

With Expertise Comes Confidence

When you choose Crowe, you can count on receiving practical solutions based on decades of experience working with more than 1,800 financial services clients worldwide. Our professionals work with each banking client to develop plans to document risk management processes, control costs, and increase the confidence of stakeholders and regulators.

Crowe is endorsed by the ABA to offer high-quality, competitive solutions that can provide the potential to improve your bank's profitability and performance. The exclusive ABA endorsement means Crowe services meet stringent quality standards, fulfill industry needs, and offer superior functionality. The ABA also confirms we can meet the long-term needs of financial services organizations, provide hands-on support for our clients through customer service and training, and can validate financial soundness and management strength.

Crowe ABA-endorsed corporate governance and internal audit service offerings include:

- Internal audit cosourcing or outsourcing
- *Sarbanes-Oxley Act (SOX)* Section 404 procedure design, documentation, and testing
- *Federal Deposit Insurance Corporation Improvement Act (FDICIA)* and the American Institute of CPAs attestation standard AT Section 501 design and testing
- Audit committee assessment and training
- Enterprise risk management consulting
- Corporate governance
- Third-party risk management
- Quality assessment reviews

Our other ABA-endorsed services include:

- Credit risk
- Enterprise risk management
- Cybersecurity and IT audits
- AML compliance
- Compliance improvement